

**Annex to Form PCT/ISA/206**  
**COMMUNICATION RELATING TO THE RESULTS**  
**OF THE PARTIAL INTERNATIONAL SEARCH**

International Application No PCT/US2005/028607
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1. The present communication is an Annex to the invitation to pay additional fees (Form PCT/ISA/206). It shows the results of the international search established on the parts of the international application which relate to the invention first mentioned in claims Nos.:
 

see 'Invitation to pay additional fees'
2. This communication is not the international search report which will be established according to Article 18 and Rule 43.
3. If the applicant does not pay any additional search fees, the information appearing in this communication will be considered as the result of the international search and will be included as such in the international search report.
4. If the applicant pays additional fees, the international search report will contain both the information appearing in this communication and the results of the international search on other parts of the international application for which such fees will have been paid.

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/039594 A1 (NARASIMHAN ANAND ET AL) 26 February 2004 (2004-02-26) abstract paragraphs '0013! - '0016! paragraphs '0039!, '0042!, '0046! paragraphs '0050! - '0052! figures 1,8-10 -----	1-36, 112-137
X	US 2003/191971 A1 (KLENSIN JOHN C ET AL) 9 October 2003 (2003-10-09) paragraphs '0011!, '0012! paragraphs '0015!, '0016! paragraphs '0032!, '0033! paragraphs '0037!, '0038! figures 1-3 -----	1-36, 112-137
X	US 2003/145222 A1 (GITTNER MIHAELA ET AL) 31 July 2003 (2003-07-31) abstract paragraphs '0039! - '0045! figure 2 -----	1-3, 30-32
A	-----	4-29, 33-36, 112-137



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

**Patent Family Annex**

Information on patent family members

International Application No

PCT/US2005/028607

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 2004039594	A1 26-02-2004	US US	2003130953 A1 2004225524 A1	10-07-2003 11-11-2004
US 2003191971	A1 09-10-2003	US	6564327 B1	13-05-2003
US 2003145222	A1 31-07-2003	GB	2384874 A	06-08-2003

JOL/CM6/law

## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

To:  
**LAHIVE & COCKFIELD, LLP**  
 Attn. Lanza, John D.  
 28 State Street  
 Boston, Massachusetts 02109  
 UNITED STATES OF AMERICA

*Inv. pay add'l fees  
 Docketed  
 Due 1/1/06*

## INVITATION TO PAY ADDITIONAL FEES

(PCT Article 17(3)(a) and Rule 40.1)

		Date of mailing (day/month/year) <b>14/12/2005</b>
Applicant's or agent's file reference <b>CXT 098 P 2006579-0501</b>	<b>PAYMENT DUE</b> within ONE MONTH from the above date of mailing	
International application No. <b>PCT/US2005/028607</b>	International filing date (day/month/year) <b>10/08/2005</b>	
Applicant <b>CITRIX SYSTEMS, INC.</b>		

## 1. This International Searching Authority

- (i) considers that there are 3 (number of) inventions claimed in the international application covered by the claims indicated ~~below~~ on the extra sheet:

and it considers that the international application does not comply with the requirements of unity of invention (Rules 13.1, 13.2 and 13.3) for the reasons indicated ~~below~~ on the extra sheet:

- (ii)  has carried out a partial international search (see Annex)  will establish the international search report on those parts of the international application which relate to the invention first mentioned in claims Nos.:

1 - 36, 112 - 137

- (iii) will establish the international search report on the other parts of the international application only if, and to the extent to which, additional fees are paid

## 2. The applicant is hereby invited, within the time limit indicated above, to pay the amount indicated below:

EUR 1.550,00	x	2	= EUR 3.100
Fee per additional invention		number of additional inventions	total amount of additional fees

Or, \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

The applicant is informed that, according to Rule 40.2(c), the payment of any additional fee may be made under protest, i.e., a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fee is excessive.

3.  Claim(s) Nos. \_\_\_\_\_ have been found to be unsearchable/defective  
Article 17(2)(b) because of defects under Article 17(2)(a) and therefore have not been included with any invention.

Name and mailing address of the International Searching Authority  
 European Patent Office, P.B. 5818 Patentlaan 2  
 NL-2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
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Authorized officer

Ainhoa Barrio Baranano



SEARCHED	JAN 07 2005
INDEXED	REG 10 2005
FILED	PCT DEPARTMENT
RETRIEVED	FORWARDED

## **Important information**

Rule 40 PCT has been amended as of 1 April 2005. For general information on the protest procedure under the PCT please refer to OJ EPO 3/2005, pages 226/227.

1. As in the past the payment of any additional fee may be made under protest i.e. accompanied by a reasoned statement to the effect that the international application complies with the requirement of unity of invention or that the amount of the required additional fees is excessive according to amended Rule 40.2(c) PCT.
2. Although no longer obligatory, prior to examination of the protest by the Board of Appeal the EPO will subject the invitation to pay additional fees to an internal review which is free of charge. The result of this review will be communicated to the applicant.
3. The fee for examination of the protest (Rule 40.2(e) PCT) is payable within one month of the date of the invitation to pay additional fees (Rule 40.1(iii) PCT), however, in order to allow the applicant to consider the result of the internal review, the applicant may pay the protest fee within one month from the date of notification of the result of the review.
4. Should the applicant wish to maintain his protest in light of the review he must pay the protest fee within one month from the date of notification of the result of the internal review, in which case the protest will be referred to the Board of Appeal. Should the Board of Appeal find that the protest was entirely justified, the protest fee shall be refunded.
5. In the event of the applicant already having paid the protest fee before notification of the result of the review, the protest will be referred to the Board of Appeal **unless** the result of the internal review was that the protest was **entirely justified or** the applicant indicates within one month from the date of notification of the result of the review that he does not wish to continue the protest, in both cases the protest fee will be refunded.

# **Important Information**

## **General**

- The **claims cannot be changed** at this point in the procedure, the transmitted report is **not** the international search report (see Art. 19 PCT).
- Any payment has to be made **directly** to this ISA, payments to other entities will not be accepted.
- In case of a **total of more than 2 inventions** found: when paying please **specify exactly** which claims should be searched.
- An **extension of the set time limit** cannot be granted.

### **Payment by cheque:**

- The **date to be considered as the date on which the payment is made** is the **date of receipt of the cheque at the EPO**, provided that the cheque is **met**.
- Copies of cheques sent by fax or by post are not considered to be a valid payment.
- The fees shall be paid in euro, no equivalents in other currencies.

### **Payment or transfer to a bank account:**

- The **date to be considered as the date on which the payment is made** is the date on which the amount of the payment or the transfer is **actually entered** in a bank account or Giro account held by the EPO.
- The fees shall be paid in euro, no equivalents in other currencies.

### **Payment by deposit account:**

- The **date to be considered as the date on which the payment is made** is the date that the **authorisation** to deduct fees from the deposit account is **received at the EPO**.

**Note:** If you don't have a deposit account with the EPO yourself you might want to consider using the account of an associate as a safe and quick way of paying.

### **Payment by credit card:**

- Payments by **credit card** are **not possible**.

### **Payments under protest according to Rule 40 PCT:**

- The protest will **not be accepted without a payment** of additional fees.
- The protest has to be **accompanied by a technical reasoning**.

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This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-36 and 112-137

Methods and systems for differentiated control of access to network resources.  
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2. claims: 37-58 and 138-153

Methods and apparatuses for taking client-dependant network access control decisions.  
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3. claims: 59-111

Method and system for the retrieval of access controlled files.  
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The subject-matter of independent claims 1, 30, 112, and 128 is directed towards differentiated control of access to network resources. This concept is achieved by requesting access to a resource, gathering information about the client node, receiving the gathered information, and making an access control decision based on the received information with or without file format conversions.

The subject-matter of independent claims 37, 49, 138, and 144 is directed towards taking client-dependant network access control decisions. This concept is achieved by using a policy engine receiving information about a client node, generating a data set from the information, and providing an enumeration of available resources.

The subject-matter of independent claims 59 and 93 is directed towards the retrieval of access-controlled data files. This concept is achieved by transmitting a file request, performing access control for the requested file, determining the respective file type and the associated identifier for an application program, and presenting the file contents.

As a result, the application comprises 3 groups of independent claims being based on different concepts and having different features.

It should be noted that the common feature of the above inventions, namely performing access control in a communication network, represents a common measure to the person skilled in the art.

For the above reasons, the International Search Report has been established on those parts of the application which relate to the first group of inventions, namely claims 1-36 and 112-137, according to Article 17(3)(a) PCT. Moreover, the Applicant is invited to pay additional fees for the remaining groups of inventions.